# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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(Amendment No. 5)\*

**Under the Securities Exchange Act of 1934** 

# **Alibaba Group Holding Limited**

(Name of Issuer)

Ordinary shares, par value US \$0.00003125 per share (Title of Class of Securities)

01609W102 (CUSIP Number)

December 31, 2019 (Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)

☐ Rule 13d-1(c)

⊠ Rule 13d-1(d)

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 (the "Act") or otherwise subject to the liabilities of that section of the Act, but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No	. 01609W102	Schedule 13

1	1 NAMES OF REPORTING PERSONS				
	Altaba Inc.				
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP				
	(a) □ (b) ⊠				
3	SEC USE ONLY				
	SEC USE ONLY				
4	CITIZENSHIP OR PLACE OF ORGANIZATION				
	Delaware				
		5	SOLE VOTING POWER		
N	UMBER OF		40,000,000 (1) (2)		
SHARES		6	SHARED VOTING POWER		
BEI	NEFICIALLY				
O	OWNED BY 0				
EACH 7 SOLE DISPOSITIVE POWER		SOLE DISPOSITIVE POWER			
	EPORTING				
	PERSON WITH		40,000,000 (1)		
	VV1111	8	SHARED DISPOSITIVE POWER		
		<u> </u>	0		
9	AGGREGA	IE A	MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
	40,000,000	0 (1)			
10					
11	PERCENT (	OF C	LASS REPRESENTED BY AMOUNT IN ROW (9)		
	0.2%(3)				
12		EPO	RTING PERSON		
	CO				

(1) As of December 31, 2019, Altaba Inc. ("Altaba") owned 5,000,000 American Depositary Shares, each of which represents 8 ordinary shares.

(3) Based upon the 21,470,018,792 ordinary shares issued and outstanding as of December 31, 2019.

<sup>(2)</sup> This number does not take into account the Voting Agreement (defined below), pursuant to which the Altaba Entities (defined below) grant an irrevocable proxy to Jack Yun Ma and Joseph C. Tsai, Alibaba's executive chairman and executive vice chairman, respectively, to vote, subject to certain exceptions, the lesser of: (a) 121,500,000 ordinary shares and (b) the aggregate number of ordinary shares collectively owned by the Altaba Entities

CUSIP No. 01609W102	Schedule 13G
CUSIP No. 01609W 102	Scheanie 13G

1	1 NAMES OF REPORTING PERSONS				
	Altaba Holdings Hong Kong Limited				
2	2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP				
	(a) □ (b) ⊠				
3	3 SEC USE ONLY				
4	4 CITIZENSHIP OR PLACE OF ORGANIZATION				
	Hong Kong				
		5	SOLE VOTING POWER		
N	UMBER OF		0		
SHARES 6 SHARED VOTING POWER					
BENEFICIALLY OWNED BY 0					
EACH 7 SOLE DISPOSITIVE POWER REPORTING		SOLE DISPOSITIVE POWER			
	PERSON		0		
	WITH	8	SHARED DISPOSITIVE POWER		
			0		
9	AGGREGA	ГЕ А	MOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
	0				
10	10 CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES				
11	PERCENT (	OF C	LASS REPRESENTED BY AMOUNT IN ROW (9)		
	0.0%(1)				
12	12 TYPE OF REPORTING PERSON				
	CO				

<sup>(1)</sup> Based upon the 21,470,018,792 ordinary shares issued and outstanding as of December 31, 2019.

#### CUSIP No. 01609W102

#### Schedule 13G

# Item 1(a) Name of Issuer:

Alibaba Group Holding Limited ("Alibaba").

# Item 1(b) Address of Issuer's Principal Executive Offices:

c/o Alibaba Group Services Limited 26/F Tower One, Times Square 1 Matheson Street Causeway Bay, Hong Kong

### Item 2(a) Name of Person Filing:

This Schedule 13G is being filed jointly by Altaba and Altaba Holdings Hong Kong Limited ("Altaba Hong Kong") (each, individually a "Reporting Person" and collectively, the "Altaba Entities" and the "Reporting Persons"). Altaba Hong Kong was previously named Aabaco Holdings Hong Kong Limited.

The Reporting Persons have entered into a Joint Filing Agreement, a copy of which is filed with this Schedule 13G as <u>Exhibit 1</u>. Pursuant to the Joint Filing Agreement, the Reporting Persons have agreed to file this Schedule 13G jointly in accordance with the provisions of Rule 13d-1(k)(1) under the Act.

#### Item 2(b) Address of Principal Business Office or, If None, Residence:

The address of the principal business office of Altaba is: 140 East 45th Street, 15th Floor, New York, NY 10017

The address of the principal business office of Altaba Hong Kong is:

Level 12, 28 Hennessy Road, Wanchai, Hong Kong

#### Item 2(c) <u>Citizenship:</u>

See Row 4 of cover page.

### Item 2(d) <u>Title of Class of Securities:</u>

Ordinary shares, par value US \$0.00000325 per share.

#### Item 2(e) CUSIP Number:

01609W102

## Item 3. Statement Filed Pursuant to Rule 13d-1(b) or 13d-2(b) or (c):

Not applicable.

# Item 4. Ownership:

- (a) Amount Beneficially Owned by each Reporting Person: See Row 9 of cover page.
- (b) Percent of Class Beneficially Owned by each Reporting Person: See Row 11 of cover page.
- (c) Number of shares as to which each Reporting Person has:
  - i. Sole power to vote or to direct the vote: See Row 5 of cover page.
  - ii. Shared power to vote or to direct the vote: See Row 6 of cover page.

On September 18, 2014, the Altaba Entities entered into a voting agreement (the "Voting Agreement") with Alibaba, SoftBank Group Corp. ("SoftBank"), Jack Yun Ma, Joseph C. Tsai and certain other shareholders of Alibaba (identified below), pursuant to which the Altaba Entities agreed to certain voting arrangements with respect to all of their Alibaba ordinary shares, including an agreement to vote for the director nominee of SoftBank and the director nominees of Lakeside Partners, LP (a partnership comprised of members of management of Alibaba, one of its affiliates and/or certain companies with which Alibaba has a significant relationship). The Altaba Entities also granted a proxy to Jack Yun Ma and Joe Tsai, Alibaba's executive chairman and executive vice chairman, respectively, to vote, subject to certain exceptions, 121,500,000 ordinary shares of Alibaba or, if less, the remaining Alibaba ordinary shares then owned by the Altaba Entities.

### CUSIP No. 01609W102

#### Schedule 13G

The Voting Agreement was filed with the U.S. Securities and Exchange Commission as Exhibit 4.13 to Alibaba's registration statement on Form F-1 on September 5, 2014.

- iii. Sole power to dispose of or to direct the disposition of: See Row 7 of cover page.
- iv. Shared power to dispose or to direct the disposition of: See Row 8 of cover page.
- **Item 5.** Ownership of Five Percent or Less of a Class: If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following □
- **Item 6.** Ownership of More Than Five Percent on Behalf of Another Person:

Not applicable.

Item 7. <u>Identification and Classification of Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person:</u>

Not applicable.

Item 8. <u>Identification and Classification of Members of the Group:</u>

The parties to the Voting Agreement may be deemed a "group" pursuant to Rule 13d-5 under the Act. Assuming the parties to the Voting Agreement are deemed a group pursuant to Rule 13d-5, the members of the group are as follows: (1) The Altaba Entities; (2) SoftBank and certain affiliates, namely SBBM Corporation, SB HP Holdco, and West Raptor Holdings, LLC; (3) Jack Yun Ma and certain affiliates, namely JSP Investment Limited, JC Properties Limited, APN Ltd., Jack Ma Philanthropic Foundation, Ying Capital Limited, and Yun Capital Limited; and (4) Joseph C. Tsai and certain affiliates, namely Parufam Limited, PMH Holding Limited, MFG Limited, MFG II Ltd., Joe and Clara Tsai Foundation Limited, and Clara Wu Ming-Hua.

**Item 9.** <u>Notice of Dissolution of Group:</u>

Not applicable.

**Item 10.** <u>Certifications</u>:

Not applicable.

# **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 14, 2020

Altaba Inc.

By: /s/ Thomas J. McInerney

Thomas J. McInerney Chief Executive Officer

Altaba Holdings Hong Kong Limited

By: /s/ DeAnn Work
DeAnn Work
Director

#### JOINT FILING AGREEMENT

In accordance with the requirements of Rule 13d-1(k) under the Securities Exchange Act of 1934, as amended, and subject to the limitations set forth therein, the parties set forth below agree to jointly file the Schedule 13G to which this joint filing agreement is attached, and have duly executed this joint filing agreement as of the date set forth below.

This agreement may be executed in any number of counterparts, each of which shall be deemed an original.

EXECUTED this 14th day of February, 2020.

Altaba Inc.

By: /s/ Thomas J. McInerney

Thomas J. McInerney Chief Executive Officer

Altaba Holdings Hong Kong Limited

By: /s/ DeAnn Work

DeAnn Work Director